



Docket No.: 5876P002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JEN-JR VINCENT GAU

Application No.: 09/848,727

Filed: May 3, 2001

For: Biological Identification System With

Integrated Sensor Chip

Art Group: 1645

Examiner:

RECEIVED

OCT 0 2 2002

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

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| Blakely, Sokoloff, Taylor & Zafman LLP |
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| Anges |
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| Thinh V. Nguyen, Reg. No. 42,034 |

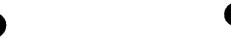
Respectfully submitted,

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

Date: September 24, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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| Barbara Hayashi | Date |



TRANSMITTAL FORM

PTO/SB/21 (08-00)
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Application No.

Filing Date

09/848,727

May 3, 2001

| (10 be used for all | correspondence and | or militar ming) | First Named Inventor | Jen-Jr Vincent Gau | | | | |
|---|----------------------------------|------------------------------|--|---|--|--|--|--|
| | | Group Art Unit | 1645 | | | | | |
| | | | Examiner Name | | | | | |
| Total Number of | Pages in This Submiss | ion 19 | Attorney Docket Number | 5876P002 | | | | |
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| ENCLOSURES (check all that apply) | | | | | | | | |
| Fee Transmittal | Form | Assignmen (for an App | t Papers lication) | After Allowance Communication to Group | | | | |
| Fee Attac | ched | Drawing(s) | | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / R | esponse | Licensing-r | elated Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| After Fina | al /declaration(s) | Petition | | Proprietary Information | | | | |
| Extension of Tin | . , | Petition to O Provisional | Convert a Application | Status Letter | | | | |
| Express Abando | onment Request | Power of Al Change of | torney, Revocation Correspondence Address | Other Enclosure(s) (please identify below): | | | | |
| Information Disc | closure Statement | Terminal Di | sclaimer | Copies of 13 cited documents | | | | |
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| Response to Mincomplete App | ssing Parts/ lication | CD, Numbe | r of CD(s) | | | | | |
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| Firm or | Thinh V. Nguye | en, Reg. No. 42 | .,034 | NECEIVED | | | | |
| Individual name | BLAKELY, S | OKOLOFF, T | AYLOR & ZAFMA | AN LLP OCT 0 2 2002 | | | | |
| Signature | Whomu | | | | | | | |
| Date | September 24 | 7 | | TECH CENTER 1600/2 | | | | |
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Complete if Known

Application Number 09/848,727

Filing Date May 3, 2001

First Named Inventor Jen-Jr Vincent Gau

Examiner Name

Group/Art Unit 1645

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| Check Credit card Money Other None 3. ADDITIONAL FEES | | | | | | 7 | | | |
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| Number | | 105 127 | 130 50 | 205 227 | 65 25 | Surcharge - late filing fee or oath Surcharge - late provisional filing fee or | | | 11 |
| Deposit Account Blakely, Sokoloff, Ta | vlor & Zafman LLP | l | | | | cover sheet. | | | |
| Name | | 139 147 | 130 2,520 | 139 147 | 130 2,520 | Non-English specification For filing a request for ex parte reexamination | n | | |
| The Commissioner is authorized to: (check all to Charge fee(s) indicated below | Credit any overpayments | 112 | 920 * | 112 | 920 | | | | |
| | | | |] | | Examiner action | | | 11 |
| Charge any additional fee(s) required under 3 Charge fee(s) indicated below, except for the | | 113 | 1,840* | 113 | 1,840 | * Requesting publication of SIR after Examiner action | | | 11 |
| Charge fee(s) indicated below, except for the to the above-identified deposit account | ming tee | 115 | 110 | 215 | 55 | Extension for reply within first month | | | I |
| FEE CALCULAT | TION | 116 | 400 | 216 | 200 | Extension for reply within second month | | | 1 |
| 1. BASIC FILING FEE | · · · · · | 117 | 920 | 217 | 460 | Extension for reply within third month | | j | |
| Large Entity Small Entity | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | | |
| Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | ⊻n Fee Paid | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | | 1 |
| 101 740 201 370 Utility filing | foo | 119 | 320 | 219 | 160 | Notice of Appeal | | | |
| 106 330 206 165 Design filir | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | 11 |
| 107 510 207 255 Plant filing | | 121 | 280 | 221 | 140 | Request for oral hearing | | | |
| 108 740 208 370 Reissue fil | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | |
| 114 160 214 80 Provisiona | filing fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | | Í |
| SUBTOTAL (1) | (\$) | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | -13 / | 40 |
| | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | RE(| EIV | キリ |
| 2. EXTRA CLAIM FEES Extra | Fee from | 143 | 460 | 243 | 230 | Design issue fee | | | |
| otal Claims Claims | below Fee Paid | 144 | 620 | 244 | 310 | Plant issue fee | nct | 0 2 20 | 102 |
| dependent - = | X | 122 | 130 | 122 | 130 | Petitions to the Commissioner | 00. | | |
| aims ultiple Dependent | X | 123 | 50 | 123 | | Processing fee under 37 CFR 1.17(q) | COLL OF | ITCD 40 | doing |
| | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | euh uei | HICH IS | 40/60 |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | n | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| Code (\$) Code (\$) | " | 146 | 740 | 246 | 370 | Filing a submission after final rejection | | | |
| 103 18 203 9 Claims in exc | ess of 20 | | | | | (37 CFR § 1.129(a)) | | | |
| 102 84 202 42 Independent | claims in excess of 3 | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | • | | 1 |
| | endent claim, if not paid | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | 1 |
| 109 84 209 42 **Reissue inc | ependent claims over original | 169 | 900 | 169 | | Request for expedited examination | | <u> </u> | 1 |
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| SUBMITTED BY | | | | | | Complete | (if applicat | ole) | 1 |
| Name (Print/Type) Thinh V. Ngu | /en | | egistratio tomev/Ager | | 4 | 32,034 Telephone (| 714) 557 | -3800 | |

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